

Appendix 1 - Risk Register - Pooled Budget Report for Sept 16 CEB

Title	Risk description	Opp/ threat	Cause	Consequence	Date Raised	Owner	Gross		Current		Residual		Comments	Controls					
							I	P	I	P	I	P		Control description	Due date	Status	Progress %	Action Owner	
Non-commitment by one of the 7 funding parties	All funding parties require formal sign-off of these arrangements. Although there is currently strong indications (and expectation) that all parties will commit, the final and binding decision needs to be made.	Threat	One or more parties decide not to commit	Financial package underpinning the options for commissioning new services does not exist	1 August		4	2	4	2	4	1	The risk for parties not to agree is low. Verbal commitment from parties have been made. Once agreed by decision making bodies, a formal Governance Agreement will be sign off and in place and parties will not be able/likely to pull out of these.	Officer working groups ongoing; HIB workshops can be called in order to guide progress and resolve issues					
Funding parties do not agree	Funding parties do not agree on one or more aspects of future arrangements and operation	Threat	Different priorities and need for the 7 different funding parties	Delay in services being commissioned and then delivered	1 August		2	2	2	2	1	1	All parties have acknowledged that they do have different priorities and this has been reflected in the retention of local services under local control. A Governance Agreement will be in place that all parties have to adhere to and all conflict resolution will take place according to this.	As above					
Services wanted cannot be provided.	Once service providers are informed by Oxfordshire County Council about changes, and recommissioning of services start, some services may not be in a position to deliver the wanted services. Some service's business models may change significantly due to reduction in funds which may make it unsustainable for them to deliver services.	Threat/opp	Organisations may not be able to sustain current business models due to reduction of provision and may not be able to deliver other models if there has been significant changes to their organisations following re-organisations.	Reduction in organisations able to provide services. Significant transition needed in order to change provision and/or providers.	1 August		3	3	3	3	2	2	Partners to the agreement will work with current providers with as much notices as possible. Innovation and new ideas to be introduced where necessary in order to deliver great services to the client group and that can be delivered affordably by services providers.						

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